

1132 U.S. PTO
10/08/02

10996 U.S. PTO
10/08/02
03/04/02

PATENT APPLICATION TRANSMITTAL LETTER

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith for filing is the patent application of:

Dennis A. Tracy

for SPEAKER ASSEMBLY

Enclosed are:

- (X) 27 pages of specification and claims.
- (X) Abstract
- (X) 16 sheet(s) of drawings.
- (X) Declaration and Power of Attorney
- (X) Applicant claims small entity status. 37 CFR 1.27
- () Information Disclosure Statement
- () Assignment and Assignment Recordation
- (X) A check in the amount of \$ 370.00 to cover the filing fee.
- (X) Any additional filing fees or presentation of extra claim fees may be charged to Deposit Account No. 01-2221.

CLAIMS AS FILED

SMALL ENTITY

OTHER THAN A
SMALL ENTITY

FOR	# FILED	# EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$370.00			\$740.00
TOTAL CLAIMS	20-20	0	x\$ 9=	\$		x\$ 18=	\$
INDEP. CLAIMS	3-3	0	x\$ 42=	\$		x\$ 84=	\$
MULTIPLE DEP. CLAIM PRESENT			x\$140=	\$		x\$280=	\$
				\$370.00		TOTAL	\$

Respectfully submitted,



Howard N. Flaxman
Registration No. 34,595

WELSH & FLAXMAN LLC
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Docket No. TRA-014

10086380-030402

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

INVENTOR(S): Dennis A. Tracy

TITLE : SPEAKER ASSEMBLY

DOCKET NO. : TRA-014

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS:

As a below named inventor, I hereby declare that:

This declaration is of the following type: (check one applicable item below)

- ☒ original
- ☐ design
- ☐ supplemental

NOTE: *If the declaration is for an International Application being filed as a divisional, continuation or continuation-in-part application do not check next item; check appropriate one of last three items.*

- ☐ national stage of PCT

NOTE: *If one of the following 3 items apply then complete and also attach ADDED PAGES FOR DIVISIONAL, CONTINUATION OR CIP.*

- ☐ divisional
- ☐ continuation
- ☐ continuation-in-part (CIP)

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SPEAKER ASSEMBLY, the specification of which is attached hereto unless the following is checked:

____ - was filed on _____ as United States Application Number or PCT International Application Number _____, and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or 265(b) of any foreign application(s) for patent or inventor's certificate or 365(a) of any PCT international application which designates at least one country other than the United States of America, listed below and have also identified below any foreign application for patents or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Applications:

Priority Claimed:

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the National or PCT international filing date of this application.

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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100000300-030000

As the inventor, I hereby appoint the following attorney(s) and/or agent(s) of WELSH & FLAXMAN LLC to prosecute this application and transact all business in the U.S. Patent and Trademark Office connected therewith. Name and registration number are listed below.

JOHN L. WELSH 33,621

HOWARD N. FLAXMAN 34,595

Please send correspondence to:

Direct telephone calls to:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

10033300-030402

Full name of sole or first inventor:


Dennis A. Tracy

Residence : Culver City, CA

Citizenship: United States of America

Post Office Address: 11136 Washington Blvd.

Culver City, CA 90232

Date: 02/28/02 Signature: 

10056380-030400